

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Robert E. Krall, Charles W. Kerber, and Kimberly KnoxApplication No./Patent No.: 6,037,366 Filed/Issue Date: March 14, 2000Entitled: COMPOSITION FOR CREATING VASCULAR OCCLUSIONSPROVASIS THERAPEUTICS, INC., a Delaware corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

March 30, 2001

Date

Gary L. Loomis

Typed or printed name

Signature

Vice President, Research & Development

Title

UTILITY/DESIGN PATENT  
Docket N. F522-7161

ASSIGNMENT

WHEREAS, we, Robert E. Krall; Charles W. Kerber; Kimberly Knox  
residing at 2728 Via Domingos, Alhambra, California 91901; 4444 Tapa Tapa Drive, La Mesa, California  
91941-7160, ; 4444 Tapa Tapa Drive, La Mesa, California 91941-7160 have invented and own the entire United  
States right, title and interest in an invention for:

COMPOSITION FOR CREATING VASCULAR OCCLUSIONS

disclosed in our application for United States Letter Patent filed:

on September 11, 1998 and assigned Serial No. 09/151,621; and

I hereby authorize and request any attorney of Videa, Arru & Steinhaus, P.A., Suite 2000,  
6109 Blue Circle Drive, Minneapolis, MN 55343-9131, to insert the filing date and application number of said  
application above when known.

WHEREAS, Prohold Technologies, Inc. ("Assignee"), a corporation organized and existing  
under and by virtue of the laws of the State of California, and having its principal place of business at 1444  
Pioneer Way, Suite 12, El Cajon, California 92020, is desirous of acquiring the entire right, title, and interest  
in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any  
and all Design Letters Patent of any and all countries which may be granted thereon;

NOW, THEREFORE, Be It Known that for good and valuable consideration, the receipt of all  
of which is hereby acknowledged, I (we) hereby sell, assign, and transfer unto Assignee, its successors, and  
assigns, the entire right, title and interest, legal and equitable, in and to said invention, to said application for  
any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all  
countries which may be granted thereon; and the Commissioner of Patents and Trademarks is hereby authorized  
and requested to issue all Letters Patent and all Design Letters Patent which may be granted to said invention to  
Assignee.

Dated: \_\_\_\_\_

First Inventor's Signature:  
First Inventor's Name:

Robert E. Krall  
Robert E. Krall

Dated: \_\_\_\_\_

Second Inventor's Signature:  
Second Inventor's Name:

Charles W. Kerber  
Charles W. Kerber

Dated: \_\_\_\_\_

Third Inventor's Signature:  
Third Inventor's Name:

Kimberly Knox  
Kimberly Knox

Form PTO-1595  
1-31-92



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Robert E. Krall; Charles W. Kerber; Kimberly  
Knox

Additional name(s) of conveying party(ies)  
attached? ☐ Yes ☒ No

3. Nature of Conveyance:  
☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 12/23/98

2. Name and address of receiving party(ies):  
Name: Prohold Technologies, Inc.

Internal Address:

Street Address: 1444 Pioneer Way, Suite 12

City: El Cajon State: California Zip: 92020

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/151,621

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom  
correspondence concerning document should be  
mailed:

Scott Q. Vidas  
Vidas, Arrett & Steinkraus, P.A.  
Suite 2000, 6109 Blue Circle Drive  
Minnetonka, MN 55343-9131

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit Account Number: 22-0350

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*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Richard A. Arrett, Esq.

Signature

Date

12/23/98

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		AFF: P52.2-7161			

VIDAS, ARRETT & STEINKRAUS



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OFFICE. ENCLOSURES: VA&S Transmittal Letter  
1 pg. Recordation Form Cover Sheet; 1 pg.  
Assignment; Check for \$40.00

APPLICANT: Charles W. KERBER et al.

SERIAL NO. 09/151,621 DOCKET NO: P52.2-7161

PRIORITY DATE:

CLIENT: Prohold Technologies, Inc.

MAILED: By First Class Mail on December 23, 1998  
RAA:jap

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CLIENT: Prohold Technologies, Inc. 173

MAILED: By First Class Mail on December 23, 1998  
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610-79277

## Patent Assignment Abstract of Title

**NOTE: Searching may be done for issued patents only. Please consult USPTO staff members for access to patent assignment data related to pending and/or abandoned applications.**

**Total Assignments: 1**

**Patent 6037366 Issue Dt: 03/14/2000 Appl. No: 09151621 Filing Dt: 09/11/1998**  
**No:**

**Title: COMPOSITION FOR CREATING VASCULAR OCCLUSIONS**

**Assignment: 1**

**Reel/Frame: 009666/0730**

**Date 12/28/1998**  
**Recorded:**

**Number of 2**  
**Pages:**

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** KRALL, ROBERT E.  
KERBER, CHARLES W.  
KNOX, KIMBERLY

**Exec Dt: 12/23/1998**

**Exec Dt: 12/23/1998**

**Exec Dt: 12/23/1998**

**Assignee:** PROHOLD TECHNOLOGIES, INC.

1444 PIONEER WAY, SUITE 12  
EL CAJON, CALIFORNIA 92020

**Correspondent:** VIDAS, ARRETT & STEINKRAUS, P.A.  
SCOTT Q. VIDAS  
SUITE 2000  
6109 BLUE CIRCLE DRIVE  
MINNETONKA, MN 55343-9131

Results as of: 8/29/2002 1:35:44 P.M.

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*If you have any comments or questions concerning the data displayed, contact OPR / Assignments at 703-308-9723*

*Date Last Updated: 01/31/2002*

01-04-1999

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Patent and Trademark Office

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Robert E. Krall; Charles W. Kerber; Kimberly Knox

## 2. Name and address of receiving party(ies):

Name: Prohold Technologies, Inc.

Internal Address:

Street Address: 1444 Pioneer Way, Suite 12

City: El Cajon

State: California

Zip: 92020

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

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Scott Q. Vidas

Vidas, Arrett &amp; Steinkraus, P.A.

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Washington, D.C. 20231

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any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all  
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and requested to issue all Letters Patent and all Design Letters Patent which may be granted to said invention to  
Assignee.

Dated: \_\_\_\_\_

First Inventor's Signature:  
First Inventor's Name:

Robert E. Krall  
Robert E. Krall

Dated: \_\_\_\_\_

Second Inventor's Signature:  
Second Inventor's Name:

Charles W. Kerber  
Charles W. Kerber

Dated: \_\_\_\_\_

Third Inventor's Signature:  
Third Inventor's Name:

Kimberly Knox  
Kimberly Knox